

THIS DISSERTATION
FOR THE Ph. D. DEGREE ON 1 FEB 1960

PROPERTIES
OF
EXPANDING UNIVERSES

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lications and consequences of the expansion are examined. In Chapter 1 it is shown that the expansion creates grave difficulties for the theory of gravitation. Chapter 2 deals with the case of an expanding homogeneous and isotropic universe. The conclusion is reached that galaxies can be formed as a result of the growth of perturbations that are present in the universe from the beginning. The propagation and absorption of gravitational radiation is also investigated in this approach. The propagation of gravitational radiation in an expanding universe is investigated by a method of asymptotic expansions. The asymptotic group and the asymptotic group are derived. The occurrence of singularities in cosmology is shown that a singularity is inevitable under very general conditions are satisfied.

and even Einstein whose theory of relativity
and almost all modern developments in cosmology
are natural to suggest a static model of the universe
is a very grave difficulty associated with
such as Einstein's which is supposed to
last for an infinite time. For, if the stars had
burned at their present rates for an infinite time
they would have needed an infinite supply of energy.
The radiation now would be infinite. Alternately
if there were only a limited supply of energy, the whole
universe would have reached thermal equilibrium which is
impossible. This difficulty was noticed by Olbers
and he failed to suggest any solution. The discovery
of the nebulae by Hubble led to the abandonment
of the static model in favour of ones which were expanding.
If the universe is expanding there are several possibilities: the universe
expanded from a highly dense state a finite

possible that the expansion may have been
at much the same rate for an infinite time
to postulate some form of continual ex-
pansion in order to prevent the expansion from redu-
cing to zero. This leads to the 'steady-state' mo-
del. This model presents the same appearance at all
points. In any cosmologies naturally placed man at or
anywhere in the universe, but, since the time of ex-
pansion is denoted to a medium sized planet going
towards a star somewhere near the edge of a fairly
large universe, we are now so humble that we would not claim
any special position. However observations seem to
be within experimental error (which is fair)
to show a spatially isotropic distribution around
every point. In claiming any special position the distribu-
tion is isotropic about every point. This implies that
the universe must be spatially homogeneous as well as
isotropic. If homogeneity and isotropy held only on

$$t^2 - R^2(t) \left[\frac{dr^2}{1 - Kr^2} + r^2 (d\theta^2 + \sin^2 \theta d\phi^2) \right]$$

$$K = 0 \quad \text{or} \quad -1$$

will be used extensively in the following chapters. It will be shown that the Hoyle-Narlikar metric is incompatible with a metric of this form. Perturbations of this form will be considered in Chapter 3 in the linear approximation and, in Chapter 5, gravitational waves will be considered in a model which tends to the Robertson-Walker form.

The Robertson-Walker models possess two types of horizons: particle horizons and event horizons. A particle horizon is said to exist when an observer's world line does not intersect the world line of every particle that has been created. An example of a model with a particle horizon is the Einstein-de Sitter model which has a constant density and zero pressure.

Horizons will be further discussed in
connection with the occurrence of singularities
connection with topology.

Chapter is self-contained and has its own r
notation is used throughout: space-tim
Riemannian manifold with metric tensor g_{ij}

have signature -2 except in Chapter 2 whe
litate comparison with previous work, th

2. Covariant differentiation is indice

Units are employed in which c , the spe
the gravitational constant, equal one.

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r 1965

S. W. Hawking

ssertation is my original work

ion

Success of Maxwell's equations has led to
physics being normally formulated in terms of
degrees of freedom independent of the part
er, Gauss suggested that an action-at-a-
which the action travelled at a finite vel
sible. This idea was developed by Wheeler
) who derived their theory from an actio
d only direct interactions between pairs
ature of this theory was that the 'pseud
re the half-retarded plus half-advanced
from the world-lines of the particles. Ho
Feynman, and, in a different way, Hogart
show that, provided certain cosmologica
ere satisfied, these fields could combin
erved field. Hoyle and Narlikar ⁽⁴⁾ exte
neral space-times and obtained similar t

Boundary Condition

and Narlikar derive their theory from the

$$= \sum_{a \neq b} \iint G(a, b) da db,$$

Integration is over the world-lines of particles

In this expression G is a Green function

which satisfies the wave equation:

$$g_{ij} g^{ij} + \frac{1}{6} R G(x, x') = \frac{\delta^4(x, x')}{\sqrt{-g}}$$

the determinant of g_{ij} . Since the double integral

on A is symmetrical between all pairs of particles

a, b , only that part of $G(a, b)$ that is

between a and b will contribute to the

expression can be written

$$(X) m^{(a)}(X)] (R_{ik} - \frac{1}{2} g_{ik} R)$$

$$+ \sum_{a \neq b} \sum \frac{1}{3} [m^{(a)} (g_{ik} m_{;r}^{(b)} - m_{;ik}^{(b)}) + 2(m_{;r}^{(a)} - \frac{1}{4} g_{ik} m^{(a);r} m_{;r}^{(b)})]$$

$\epsilon) = \int G^*(x, a) da$. However, as a
 of the particular choice of Green functi
 of the field-equations is satisfied iden
 as only 9 equations for the 10 component
 em is indeterminate.

and Narlikar therefore impose $\sum m^{(a)} = m_0$
 equation. By then making the 'smooth-fl
 n, that is by putting $\sum_{a \neq b} \sum m^{(a)} m^{(b)}$
 the Einstein field-equations:

$$R_{ik} - \frac{1}{2} R g_{ik} = -T_{ik}$$

$$= \sum m^{(a)}(x) = \sum \int G^*(x, a) da$$

$$\int G_{ret.}(x, a) da + \frac{1}{2} \sum \int G_{adv.}(x, a)$$

ment is highly restrictive; it will be not satisfied for the cosmological solution field-equations, and it appears that it for any models of the universe that either infinite amount of matter or undergo infinity difficulty is similar to that occurring in theory when it is recognized that the universe is infinite.

tonian potential ϕ obeys the equation:

$$\square \phi = -\kappa \rho \quad (\rho > 0),$$

finite by a sort of 'red-shift' effect. The
solution will be infinite by a 'blue-shift'
important in Newtonian theory, since one is
the solution of the equation and so may ignore
advanced solution and take simply the finite
solution.

By in the direct-particle interaction the
satisfies the equation:

$$\square m + \frac{1}{6} R m = N \quad (N > 0),$$

the density of world-lines of particles.
In this case, one may expect that the effect of
the universe will be to make the retarded
the advanced solution infinite. However,
to choose the finite retarded solution,
derived from a direct-particle interaction
metric between pairs of particles, and o

$$d\tau = \frac{dr}{1 - Kr^2} + r d\theta$$

is conformally flat, one can choose coordinates

which become

$$= \Omega^2 [d\tau^2 - d\rho^2 + \rho^2 (d\theta^2 + \sin^2\theta d\phi^2)]$$

$$= \Omega^2 \eta_{ab} dx^a dx^b$$

where η_{ab} is the flat-space metric tensor and

$$R(t) = \frac{R(t)}{\sqrt{\left[1 + \frac{1}{4}K(\tau + \rho)^2\right] \left[1 + \frac{1}{4}K(\tau - \rho)^2\right]}}$$

for the Einstein-de Sitter universe

$$= 0, \quad R(t) = \left(\frac{t}{T}\right)^{\frac{2}{3}} \quad (0 < t < \infty),$$

$$= R = \left(\frac{\tau}{T}\right)^2 \quad (0 < \tau < \infty),$$

function $\psi(a, b)$ obeys the equation

$$\square^* \psi(a, b) + \frac{1}{6} R \psi^*(a, b) = \frac{\delta^4(a, b)}{\sqrt{-g}}$$

follows that

$$\begin{aligned} \left(\Omega^2 \eta^{ab} \frac{\partial}{\partial x^b} \psi^* \right) + \frac{\partial}{\partial x^a} \left(\eta^{ab} \frac{\partial \Omega}{\partial x^b} \right) \psi \\ = \Omega^{-4} \delta^4(a, b) \end{aligned}$$

$$\psi^* = \Omega^{-1} S, \quad \text{then}$$

$$\frac{\partial}{\partial x^a} \left(\eta^{ab} \frac{\partial}{\partial x^b} S \right) = \delta^4(a, b)$$

By the flat-space Green function equation

$$\begin{aligned} G(x_1, 0; \tau_2, \rho) = \frac{\Omega^{-1}(\tau_1)}{8\pi} \left[\frac{\delta(\rho - \tau_2 + \tau_1)}{\Omega(\tau_2)\rho} \right. \\ \left. + \frac{\delta(\rho + \tau_2 - \tau_1)}{\Omega(\tau_2)\rho} \right], \end{aligned}$$

is given by

$$= \int G^* N \sqrt{-g} dx^4 = \frac{1}{2} (m_{\text{ret.}} + m_{\text{adv.}})$$

egration is over the future light cone.
 y be infinite in an expanding universe,
 -de Sitter universe.

$$\begin{aligned}
 &) = \left(\frac{\tau_1}{T} \right)^{-2} \int_{\tau_1}^{\infty} n(\tau_2 - \tau_1) d\tau_2 \\
 & = \infty
 \end{aligned}$$

y-state universe

$$\begin{aligned}
 (\tau_1) & = \left(\frac{-T}{\tau_1} \right)^{-1} \int_{\tau_1}^0 -n \left(\frac{T}{\tau_2} \right)^3 (\\
 & = \infty
 \end{aligned}$$

on the other hand, we have

steady-state universe

$$\begin{aligned}(\hat{r}_1) &= \left(\frac{-T}{\hat{r}_1} \right)^{-1} \int_{-\infty}^{\hat{r}_1} n \left(\frac{T}{\hat{r}_2} \right)^3 (\hat{r}_2 - \hat{r}_1) \\ &= \frac{1}{2} n T^2\end{aligned}$$

be seen that the solution $m = \text{const.}$

$$\square m + \frac{1}{6} R m = N$$

cosmological metric, the half-advanced

solution since this would be infinite.

of the Einstein-de Sitter and steady-state

retarded solution.

field

and Narlikar derive their direct-particle

theory of the 'C'-field from the action

$\int \sqrt{-g} \left(\frac{1}{2} \partial_\mu \psi \partial^\mu \psi - V(\psi) \right) d^4x$

$$(x, x') = \frac{\delta^4(x, x')}{\sqrt{-g}}$$

the 'C'-field by

$$C(x) = \sum \int \hat{G}(x, a)_{;i} da^i,$$

the current J^κ by

$$J^\kappa(y) = \sum \int \delta^4(y, b) db^\kappa.$$

$$C(x) = \int \hat{G}(x, y) J^\kappa(y)_{;\kappa} \sqrt{-g}$$

$$\square C = J^\kappa_{;\kappa}$$

that the sources of the 'C'-field are the

is created or destroyed.

In the case of the 'm'-field, the Green function

is symmetric, that is

$$\hat{G}(a, b) = \frac{1}{2} \hat{G}_{ret.}(a, b) + \frac{1}{2} \hat{G}_{adv.}(a, b)$$

be on a large scale although there may be
 es. In this universe, the value of C with
 its gradient time-like and of unit magnit
 this universe, we may check it for consis
 the advanced and retarded 'C'-fields and
 is finite. We shall not do this direct
 at the advanced field is infinite while
 finite.

r a region in space-time bounded by a th
 space-like hypersurface \mathcal{D} at the presen
 light cone Σ of some point P to the

s's theorem

$$\begin{aligned}
 \square C \sqrt{-g} dx^4 &= \int_{\Sigma + \mathcal{D}} \frac{\partial \mathcal{L}}{\partial n} dS \\
 &= \int J^{\kappa}_{;\kappa} \sqrt{-g} dx^4
 \end{aligned}$$

is the rate of creation of matter = n (con-
stant universe, and hence

$$\int_D \frac{\partial C'}{\partial n} dS = nV.$$

\mathcal{P} is taken further into the future, the
area V tends to infinity. However, the area
 D tends to a finite limit owing to hor-
izons. Therefore the gradient $\frac{\partial C'}{\partial n}$ must be
finite. Calculation shows the gradient of the re-
tardation is finite. Their sums cannot therefore give
the gradient required by the Hoyle-Narlikar
model. Worth noting that this result was obtained
under the assumptions of a smooth distribution of matter
and flatness.

boundary conditions for them. Thus it does not provide a model for the universe but allows a whole range of models. Clearly a theory that provided boundary conditions which restricted the possible solutions would be more useful.

The Hoyle-Narlikar theory does just that. It provides a boundary condition that $m = \frac{1}{2} m_{ret} + \frac{1}{2} m_{adv}$ is satisfied (where m is the total mass, m_{ret} is the mass in the past light cone, and m_{adv} is the mass in the future light cone). Unfortunately, this condition excludes those models which correspond to the actual universe, namely the Friedmann-Lemaître models.

The calculations given above have considered the universe as filled with a uniform distribution of matter. This is a simplification if we are able to make the 'smooth-fluid' approximation to obtain the Einstein equations. Although this approximation is invalid, it cannot be said that the Einstein equations are invalid.

It is possibly be that local irregularities

on that it is possible to formulate a di-
 raction theory of electrodynamics that d-
 s difficulty of having the advanced solu-
 hat in electrodynamics there are equal n-
 positive and negative sign. Their fiel-
 ther out and the total field can be zero
 regularities. This suggest that a possi-
 oyle-Narlikar theory would be to allow m-
 e and negative sign. The action would be

$$\sum_{a \neq b} \sum q_a q_b \iint G^*(a, b) da db \quad (q_a)$$

b are gravitational charges analogous
 ges. Particles of positive q in a pos-
 nd particles of negative q in a negati-

positive q . Its own gravitational
be to repel all other particles. Thus
erties of the negative mass described by
tive gravitational mass and negative ine
ere does not seem to be any matter havin
ies in our region of space (where $m \approx$
early be separation on a very large scal
ossible to identify particles of negati
er, since it is known that antimatter ha
. Hwever, the introduction of negative
y raise more difficulties than it would s

e and
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relativistically by Lifshitz⁽²⁾, Lifshitz and
Irvine⁽⁴⁾. Their method was to consider
the metric tensor. This has the disadvantage
that it is not a physically significant quantity, since
we cannot measure it, but only its second derivative.
Without knowing what the physical interpretation of a given
metric is. Indeed it need have no physical
interpretation at all, but merely correspond to a coordinate
system. Hence it seems preferable to deal in terms of
the physically significant quantity, the
curvature tensor, which is represented as a four-dimensional Riemann
tensor R_{abcd} of signature +2. Covariant differentiation
is indicated by a semi-colon. Square brackets indicate
antisymmetrisation and round brackets symmetrisation.
The Riemann and Ricci tensors are:-

$$R_{ab} = R^c{}_{acb}$$

the energy momentum tensor of matter. We write

it consists of a perfect fluid. Then,

$$T_{ab} = \mu U_a U_b + p h_{ab}$$

where U_a is the velocity of the fluid, $U_a U^a = -1$:

μ is the density

p is the pressure

$h_{ab} = g_{ab} + U_a U_b$ is the projection operator

onto the plane orthogonal to U_a :

$$h_{ab} U^b = 0.$$

We define the gradient of the velocity vector U_a as

$$U_{a;b} = \omega_{ab} + \sigma_{ab} + \frac{1}{3} h_{ab} \theta - \dot{U}_a U_b$$

$\dot{U}_a U_b$ is the acceleration

$\theta = U^a{}_{;a}$ is the expansion,

$\sigma_{ab} = U_{(c;d)} h_a^c h_b^d - \frac{1}{3} h_{ab} \theta$ is the shear

$\omega_{[c;d]} h_a^c h_b^d$ is the rotation

We define the rotation vector ω_a as

$$\frac{1}{2} C_a{}^{pq} \eta_{qrs} U_p U^s ,$$

$$8 U_{[a} E_{b]}^{[c} U^{d]} - 4 \delta_{[a}^{[c} E_{b]}^{d]} ,$$

$$-2 \eta_{abcd} U^p H^q [c U^d] - 2 \eta^{cdrs} U_r H_s [a U_b]$$

$$(ab) , \quad H_{ab} = H_{(ab)} ,$$

$$H_a{}^a = 0$$

$$H_{ab} U^b = 0 ,$$

each have five independent components.

Bianchi identities,

$$3 E_{ab} \omega^b - \eta_{abcd} u^c \sigma^d e E^e = (\mu + p) \omega_a$$

$$b)_{cde} u^c H_f^{d;e} + E_{ab} \theta - E^c (a \omega_b) c$$

$$b)_{c} - \eta_{ocde} \eta_{bpqr} u^c u^p \sigma^{dq} E^{er}$$

$$\eta_{bcde} u^c \dot{u}^e = -\frac{1}{2}(\mu + p) \sigma_{ab} \quad ,$$

$$b)_{cde} u^c E_f^{d;e} + H_{ab} \theta - H^c (a \omega_b) c$$

$$b)_{c} - \eta_{osde} \eta_{bpqr} u^c u^p \sigma^{dq} H^{er}$$

$$\eta_{bcde} u^c \dot{u}^e = 0 \quad .$$

ates projection by h_{ab} orthogonal to U_a
 7)).

ected Bianchi identities give,

$$(R_{ab} - \frac{1}{2} g_{ab} R)^{;b} = -T_{ab}^{;b} = 0 \quad ,$$

$$\dot{\mu} + (\mu + p) \theta = 0 \quad ,$$

$$(\mu + p) \dot{u}_a + p_{;b} h^b_a = 0 \quad .$$

$$b - \omega_{ac} \omega^c_b - \sigma_{ac} \sigma^c_b - \frac{2}{3} \sigma_{ab} \theta$$

$$h_{ab} (2\omega^2 - 2\sigma^2 + \dot{u}_c{}^c) + \dot{u}_a \dot{u}_b$$

$$(p; q) h^p_a h^q_b ,$$

$$\omega_{ab} \omega^{ab} , \quad 2\sigma^2 = \sigma_{ab} \sigma^{ab}$$

what may be regarded as equations of cons

$$(\omega^b_c{}_{;b} + \sigma^b_c{}_{;b}) h^c_a - \dot{u}^b (\omega_{ab} + \sigma_{ab})$$

$$) \dot{u}^a ,$$

$$\eta_{b) cde} u^c (\omega_f{}^{d;e} + \sigma_f{}^{d;e}) .$$

turbations of a universe that in the undi

flat, that is

$$C_{abcd} = 0 .$$

and of the Weyl tensor. We neglect products of small perturbations and perform derivatives with respect to the unperturbed metric. For all the quantities we are interested in we assume that the scalars, μ , h , θ have unperturbed values and the perturbations that merely represent coordinate transformations have no physical significance.

At first order the equations (1) - (4) and (7)

$$1) \quad \mu_{;b}^b = 0,$$

$$2) \quad \omega_a = 0,$$

$$3) \quad h^f (a \eta b)_{cde} u^c H_f^{d;e} = -\frac{1}{2} (\mu + h) \sigma_{ab}$$

$$4) \quad -h^f (a \eta b)_{cde} u^c E_f^{d;e} = 0,$$

$$5) \quad \dot{\omega}_a^a = \frac{1}{2} (\mu + 3h),$$

$$6) \quad \omega_{ab} \theta + \dot{\omega}_{[p;q]} h_a^p h_b^q = 0,$$

the unperturbed state the rotation and acc
must be hypersurface orthogonal.

$$u_a = \tau_{;a} \quad ,$$

are the proper time along the world lines.

constant are homogeneous and isotropic the

constant curvature. Therefore the metric

$$ds^2 = -d\tau^2 + \Omega^2 d\gamma^2$$

$$\Omega = \Omega(\tau) \quad ,$$

$d\gamma^2$ is the line element of

zero or unit positive or nega

by,

$$\frac{dt}{d\tau} = \frac{1}{\Omega} \quad ,$$

$$ds^2 = \Omega^2 (-dt^2 + d\gamma^2) \quad .$$

$$u_a = (-\Omega, 0, 0, 0) \quad ,$$

relation between μ and h , we may determine the two extreme cases, $h = 0$ (dust) and $h = \infty$ (black hole). Any physical situation should lie between these two cases.

$$\frac{M}{\Omega^3} = \text{const.}$$

$$\ddot{\Omega} - \frac{1}{2\Omega^3} = 0,$$

$$\dot{\Omega}^2 - \frac{1}{\Omega} = E, \quad E = \text{const.}$$

$$E > 0,$$

$$\left(\cosh \sqrt{\frac{EM}{3}} t - 1 \right), \quad \tau = \frac{1}{2E} \left(\sqrt{\frac{3}{EM}} \sinh \sqrt{\frac{EM}{3}} t \right)$$

$$E = 0,$$

$$\tau = \frac{M}{36} t^3;$$

$$E < 0,$$

$$\left(-\cos \sqrt{\frac{-EM}{3}} t \right), \quad \tau = \frac{-1}{2E} \left(t - \sqrt{\frac{3}{-EM}} \sin \sqrt{\frac{-EM}{3}} t \right)$$

$$R = -\frac{1}{\Omega^2}, \quad M = \frac{1}{E};$$

$$*R = 0;$$

$$*R = \frac{6}{\Omega^2}, \quad M = \frac{-3}{E}.$$

$$\dot{\mu} = -4 \frac{\dot{\Omega}}{\Omega}.$$

$$\frac{3\ddot{\Omega}}{\Omega} = -\mu,$$

$$\mu = \frac{M}{\Omega^4},$$

$$\therefore \frac{3\ddot{\Omega}^2}{M} - \frac{1}{\Omega^2} = E$$

$> 0,$

$$t, \quad \tau = \frac{1}{E} (\cosh t - 1), \quad *R = -\frac{1}{\Omega^2}$$

$= 0,$

$$\tau = \frac{1}{2} t^2,$$

$$*R = 0$$

$0,$

$$t, \quad \tau = \frac{1}{E} (\cos t - 1), \quad *R = \frac{1}{\Omega^2}$$

$$\dot{\omega} = -\omega \left(\frac{2}{3} \theta + \frac{1}{4} \frac{\dot{\mu}}{\mu} \right),$$

$$= -\frac{1}{3} \omega \theta,$$

$$\omega = \frac{\omega_0}{\Omega}$$

dies away as the universe expands. This is a consequence of the conservation of angular momentum in an

Evolution of Density

we have the equations,

$$\dot{\mu} = -\mu \theta$$

$$\dot{\theta} = -\frac{1}{3} \theta^2 - \frac{1}{2} \mu$$

no spatial derivatives. Thus the behaviour of one is affected by the behaviour of another. Perturbations in some regions having slightly higher or lower

the time at which the whole universe begins
 any real instability when $E = 0$. This can
 relative to all the possible values E can
 cannot really be used as an argument to dis
 be some reason why the universe should ha
 with energy $-\delta E$, in a universe with $E = 0$

$$= \frac{1}{4\delta E} \left(t^2 - \frac{t^4}{12} + \dots \right)$$

$$= \frac{1}{12\delta E} \left(t^3 - \frac{t^5}{20} + \dots \right)$$

$$= \frac{3}{\delta E \Omega^3} = \frac{4}{3} \tau^{-2} \left(1 + \frac{(\delta E)^{2/3}}{2\sqrt{3}} \tau^{2/3} + \dots \right)$$

$$= \frac{4}{3} \tau^{-2}$$

perturbation grows only as $\tau^{2/3}$. This is not
 axes from statistical fluctuations even
 However, since an evolutionary universe has
 er⁽⁸⁾, Penrose⁽⁹⁾) different parts do not

together. This makes it even more difficult

turbation cannot contract unless it has a
 action of the pressure forces make it start
 to contract. Eliminating θ ,

$$\mu^2 - \frac{4}{3} \mu^3 + \frac{4}{3} \mu^2 \dot{u}_a{}^{;a} = 0$$

$$-a; b h^{ab} + \dot{u}_a \dot{u}^a$$

$$\frac{1}{4} \frac{h^{ac} (h^b_a \mu; b); c}{\mu}$$

to our

is the Laplacian in the hypersurface \mathcal{T}

perturbation as a sum of eigenfunctions

here,

$$S^{(n)}{}_{;c} u^c = 0$$

$$h^{ac} (h^b_a S^{(n)}{}_{;b}); c = -\frac{n^2}{\Omega^2} S^{(n)}$$

ions will be hyperspherical and pseudohyper

(a) and (a) respectively and plane

$$\frac{1}{2} B \mu_0 - B \left[\frac{1}{3} \mu_0 - \frac{1}{3} \Omega^2 \mu_0 \right] -$$

$\frac{n^2}{4\Omega^2}$, $B^{(n)}$ will grow.

$$B^{(n)} \approx C \tau + D \tau^{-1}$$

ons grow for as long as light has not had
 cant distance compared to the scale of th
 that time pressure forces cannot act to

$$\mu_0, \quad B''^{(n)} + B'^{(n)} \frac{\Omega'}{\Omega} + \frac{n^2}{3} B^{(n)}$$

$$\approx C \Omega^{-\frac{1}{2}} e^{i \frac{n}{\sqrt{3}} t}$$

waves whose amplitude decreases with time
 those obtained by Lifshitz and Khalatniko

Steady State Universe

In the steady-state universe we must add extrinsic momentum tensor. Hoyle and Narlikar⁽¹⁰⁾ use,

$$u_a u_b + \mu h_{ab} - C_a C_b + \frac{1}{2} g_{ab} C_c C^c.$$

$$C_a = C_{;a} \quad ,$$

$$C_{;a}{}^a = -j_a{}^{;a} \quad ,$$

$$j_a = (\mu + p) u_a$$

$$\mu + (\mu + p) \theta + u^a C_a C_b{}^{;b} = 0$$

$$(\mu + p) \dot{u}_a + \mu_{;b} h^b{}_a - h^b{}_a C_b C_d{}^{;d} = 0$$

is a scalar, this implies that the rotation

On the other hand if (23) does not hold,
e (c.f. Raychaudhuri and Bannerjee⁽¹¹⁾).

the set of equations we will adopt (23) but

C_a is the gradient of a scalar. The co

nsatisfactory but it is difficult to think of

Coyle and Narlikar⁽¹²⁾ seek to avoid this

picture rather than a fluid picture. However

since it leads to infinite fields (Hawking

$$= -u_a \left[1 - \frac{\dot{r}}{\dot{\mu} + \dot{r} + (\mu + r)\theta} \right]$$

$$- (\dot{\mu} + \dot{r}) - (\mu + r)\theta$$

$$- \theta \left[1 - \frac{\dot{r}}{\dot{\mu} + \dot{r} + (\mu + r)\theta} \right] + \left(\frac{\dot{r}}{\dot{\mu} + \dot{r} + (\mu + r)\theta} \right)$$

therefore rotational perturbations also
now becomes

$$\dot{\theta} = -\frac{1}{3}\theta^2 - \frac{1}{2}(\mu + 3\nu) + 1$$

$$\theta \rightarrow \sqrt{3\left(\frac{1}{2} - \nu\right)}$$

confirm those obtained by Hoyle and Narlika
that galaxies cannot be formed in the steady
growth of small perturbations. However the
possibility that there might be a self-perpet
perturbations which could produce galaxi
burgh and Saffman⁽¹⁶⁾).

Gravitational Waves

Consider perturbations of the Weyl tensor that
are rotational or density perturbations, that is,

$$H_{ab}{}^{;b} = 0$$

with a non-expanding congruence U^a this is
of the linearised theory,

$$\square^2 E_{ab} = 0$$

term in (24) is the Laplacian in the hyper
acting on E_{ab} . We will write E_{ab} as a
of this operator.

$$E_{ab} = \sum A^{(n)} V_{ab}^{(n)}$$

$$= 0 \quad ,$$

$$h^c_f h^d_g h^e_k ; i h^{ki} h^f_a h^g_b = \frac{-n^2}{\Omega^2} V_{ab}^{(n)}$$

$$= 0 \quad , \quad V_a^a = 0 \quad .$$

$$\sum \frac{A^{(n)}}{\Omega} V_{ab}^{(n)}$$

(24)

$$A^{(n)} + A^{(n)} \left[n^2 + 3 \frac{\Omega''}{\Omega} + 6 \frac{\Omega'^2}{\Omega^2} + \frac{1}{3} (\mu + \dots) \right]$$

$$\left[\Omega'(\mu + k) + \frac{1}{2} \Omega^2(\dot{\mu} + \dot{k}) \right] = 0$$

iate again and substitute for D' ,

$$\Omega \gg \frac{1}{n^2}$$

$$\approx \frac{1}{\Omega^3} e^{int}$$

onal field E_{ab} decreases as Ω^{-1} and H^{ab} as Ω^{-6} . We might expect this as

es may be written, to the linear approxima

$$\frac{\partial}{\partial x^c} e (\Omega^{-1} C_{abcd}) = J_{abc}.$$

interaction with the matter could be neglig

proportional to Ω and E_{ab} , H_{ab} to Ω

dy-state universe when μ and Λ have n

as measured by the energy momentum pseudo
g Cartesian coordinate system, which depend
s. Since the frequency will be inversely
rgy measured by the pseudo-tensor will be
other rest mass zero fields.

Gravitational Waves

seen, gravitational waves are not absorbed
Suppose however there is a small amount of
this by the addition of a term $\lambda \sigma_{ab}$
tensor, where λ is the coefficient of vi

$$T_{ab};^b = 0$$

$$(\mu + \hbar) \theta - 2 \lambda \sigma^2 = 0$$

$$h^f(a \eta b)_{cde} u^c E_f^{d;e} = -\frac{1}{2} \lambda H_{ab}$$

on the right of equations (27), (28) are
 in Maxwell's equations and will cause the
 factor $e^{-\frac{\lambda}{2}t}$. Neglecting expansion for
 a wave of the form,

$$a_b = \int_0 E_{ab} e^{i\nu\tau}$$

absorbed in a characteristic time $\frac{2}{\lambda}$ inde
 25) the rate of gain of rest mass energy
 $2\lambda\sigma^2$ which by (19) will be $2\lambda \int_0 E^2 \nu^{-2}$
 in the wave is $4 \int_0 E^2 \nu^{-2}$. This confi
 able energy of gravitational radiation wi
 expanding universe. From this we see tha
 radiation behaves in much the same way as o
 . In the early stages of an evolutionary

gravitational radiation does not contribute
 momentum tensor T_{ab} . Nevertheless it will
 have a non-local effect. By the expansion equation,

$$\frac{1}{3} \theta^2 - 2\sigma^2 - \frac{1}{2}(\mu + 3p)$$

gravitational radiation at frequency ν ,

$$E^2 \nu^{-2}$$

density of the radiation is $4 E^2 \nu^{-2}$

$$-\frac{1}{3} \theta^2 - \frac{1}{2} \mu_G - \frac{1}{2}(\mu + 3p)$$

the gravitational "energy" density. Thus gravity has a

net active attractive gravitational effect.

Thus, this seems to be just half that of electromagnetic radiation.

As suggested by Hogarth⁽¹⁸⁾ and Hoyle and Narlikar,

there is a connection between the absorption of radiation and the

expansion of the universe. Thus in universes like the steady-

so for the steady-state universe since λ
evolutionary universes λ will be a function
complete absorption if $\int \lambda d\tau$ diverges. No
is the temperature. For a monatomic gas
integral will diverge (just). However the e
ty assumed that the mean free path of the
to the scale of the disturbance. Since the
and the wavelength $\propto \Omega^{-1}$, the mean fr
greater than the wavelength and so the effec
re rapidly than Ω^{-1} . Thus there will n
the theory would not predict retarded solut
slightly academic since gravitational radi
d, let alone investigated to see whether i
advanced solution.

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ational radiation in empty asymptotically
een examined by means of asymptotic expan
of authors. (1-4) They find that the di
of the outgoing radiation field "peel of
as different powers of the affine radia
es to investigate how this behaviour is
ence of matter, one is faced with a diff
ot arise in the case of, say, electromagn
n matter. For this one can consider the
through an infinite uniform medium that
the disturbance created by the radiation
vitational radiation this is not possible
am were initially static, its own self gr
it to contract in on itself and it would
Hence one is forced to investigate gravi
a matter that is either contracting or ex
Chapter 2, we identify the Weyl or confor
with the free gravitational field

zero.

and essentially non-gravitational phenomena. In this case, we will consider gravitational radiation through dust. It was shown in Chapter 2 that a flat universe filled with dust must have

$$ds^2 = \Omega^2 (dt^2 - d\rho^2 - \sin^2 \rho (d\theta^2 + \sin^2 \theta d\phi^2))$$
$$\Omega = A(1 - \cos t)$$

$$ds^2 = \Omega^2 (dt^2 - d\rho^2 - \rho^2 (d\theta^2 + \sin^2 \theta d\phi^2))$$
$$\Omega = \frac{1}{2} A t^2 \quad (1)$$

$$ds^2 = \Omega^2 (dt^2 - d\rho^2 - \sinh^2 \rho (d\theta^2 + \sin^2 \theta d\phi^2))$$
$$\Omega = A(\cosh t - 1) \quad (1)$$

represents a universe in which the matter is initially concentrated at the initial singularity with insufficient mass to collapse back again to another

al case. D. Norman (5) has investigated
"behaviour in this case using Penrose's
(5). He was however forced to make certain
the movement of the matter which will be
Moreover, he was misled by the special na
-De Sitter universe in which affine and
ffer. Another reason for not considerin
ein-De Sitter universe is that it is uns
of a gravitational wave will cause it to
ally and develop a singularity.

therefore consider radiation in a unive
ch corresponds to the general case where
panding with more than enough energy to
again.

Newman-Penrose Formalism

by the notation of Newman and Penrose. (3)

$$l^\mu n^\mu \bar{m}^\mu m^\mu$$

se vectors with a tetrad index

$$(\Lambda^\mu, M^\mu, \bar{M}^\mu)$$

$$a = 1, 2, 3, 4.$$

es are raised and lowered with the metri

$$\eta^{ab} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

(2.1)

$$\eta^{\mu\nu} = \eta^{ab} Z^\mu_\alpha Z^\nu_b$$

$$L^\mu \Lambda^\nu + \Lambda^\mu L^\nu - M^\mu \bar{M}^\nu - \bar{M}^\mu M^\nu$$

on coefficients are defined by:

$$Z^\mu_{\alpha; \nu} Z^b_\mu Z^c_\nu$$

(2)

bac

131

$$-\gamma = -\Omega_{\mu; \nu} \bar{M}^{\mu} L^{\nu}$$

241

$$\frac{1}{2} (\gamma_{121} - \gamma_{341}) = \frac{1}{2} (L_{\mu; \nu} \Omega^{\mu} L^{\nu})$$

$$\gamma = L_{\mu; \nu} M^{\mu} \bar{M}^{\nu}$$

134

$$-\gamma = \Omega_{\mu; \nu} \bar{M}^{\mu} \bar{M}^{\nu}$$

244

$$\frac{1}{2} (\gamma_{124} - \gamma_{344}) = \frac{1}{2} (L_{\mu; \nu} \Omega^{\mu} \bar{M}^{\nu} - M^{\mu} \bar{M}^{\nu})$$

$$\frac{1}{2} (\gamma_{123} - \gamma_{343}) = \frac{1}{2} (L_{\mu; \nu} \Omega^{\mu} M^{\nu} - M^{\mu} \bar{M}^{\nu})$$

$$\gamma = L_{\mu; \nu} M^{\mu} M^{\nu}$$

133

$$-\gamma = -\Omega_{\mu; \nu} \bar{M}^{\mu} M^{\nu}$$

243

$$-\gamma = -\Omega_{\mu; \nu} \bar{M}^{\mu} \Omega^{\nu}$$

242

$$L_{\mu; \nu} \Omega^{\mu} \Omega^{\nu}$$

$\mu = u; \mu$. Thus L_μ will be irrotational. This implies

$$K = 0$$

$$\rho = \bar{\rho}$$

$$\varepsilon = -\bar{\varepsilon}$$

$$\tau = \bar{\alpha} + \beta$$

$$M^M, \bar{M}^M$$

to be parallelly transported

. This gives

$$\pi = \varepsilon = 0$$

(3.3)

coordinate we take an affine parameter

geodesics L_μ

$$x^i; \mu L^M = 1$$

x^3, x^4 are two coordinates that label the geodesics

at $u = \text{const.}$

$$x^3; \mu L^M = x^4; \mu L^M = 0$$

$$= \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & g^{22} & g^{23} & g^{24} \\ 0 & g^{23} & g^{33} & g^{34} \\ 0 & g^{24} & g^{34} & g^{44} \end{bmatrix}$$

(3.6)

$$- \frac{a b a c}{\gamma} + \frac{a a c c}{e \gamma} - \frac{\gamma \gamma}{e} + \frac{a a c c}{e \gamma} (e \gamma - \gamma)$$

$$+ \eta R_{a[d c]b} + \eta R_{b[c d]a} + \frac{R}{3} \eta_{a[b c]}$$

combinations of rotation coefficients already

with $\kappa = \pi = \epsilon = 0$ we have

$$\rho^2 + \sigma \bar{\sigma} + \phi_{00} \tag{3.1}$$

$$\rho \sigma + \psi_0 \tag{3.2}$$

$$\rho \bar{\tau} + \bar{\tau} \sigma + \psi_1 + \phi_{01} \tag{3.3}$$

$$\rho \beta + \beta \bar{\sigma} + \phi_{10} \tag{3.4}$$

$$\rho \alpha + \alpha \sigma + \psi_1 \tag{3.5}$$

$$\alpha \bar{\tau} + \bar{\tau} \beta + \psi_2 - \Lambda + \phi_{11} \tag{3.6}$$

$$\rho \mu + \mu \bar{\sigma} + \phi_{20} \tag{3.7}$$

$$\mu \rho + \lambda \sigma + \psi_2 + 2\Lambda \tag{3.8}$$

$$\bar{\tau} \lambda + \bar{\tau} \mu + \psi_3 + \phi_{21} \tag{3.9}$$

$$\rho - \lambda \sigma - 2\alpha\beta + \alpha\bar{\alpha} + \beta\bar{\beta} - \psi_2 + \lambda + \phi_1$$

$$(\alpha + \bar{\beta})\mu + (\bar{\alpha} - 3\beta)\lambda - \psi_3 + \phi_{21}$$

$$\gamma\mu - 2\nu\beta + \bar{\gamma}\mu + \mu^2 + \lambda\bar{\lambda} + \phi_{22}$$

$$\tau\mu - \sigma\nu + (\mu - \gamma + \bar{\gamma})\beta + \bar{\lambda}\alpha - \phi_1$$

$$2\tau\beta + (\bar{\gamma} + \mu - 3\gamma)\sigma + \bar{\lambda}\rho + \phi_{02}$$

$$(\gamma + \bar{\gamma} - \bar{\mu})\rho - 2\alpha\tau - \lambda\sigma - \psi_2 - \phi_1$$

$$\rho\nu - \tau\lambda - \lambda\beta + (\bar{\gamma} - \gamma - \bar{\mu})\alpha - \psi_3$$

$$= M^\mu \nabla_\mu = \omega \frac{\partial}{\partial r} + \xi^i \frac{\partial}{\partial x^i}$$

$$= -\frac{1}{2} R_{11} = \bar{\Phi}_{00}$$

$$= -\frac{1}{4} (R_{12} + R_{34}) = \bar{\Phi}_{11}$$

$$= -\frac{1}{2} R_{13} = \bar{\Phi}_{10}$$

$$= -\frac{1}{2} R_{23} = \bar{\Phi}_{21}$$

$$= -\frac{1}{2} R_{33} = \bar{\Phi}_{20}$$

$$= -\frac{1}{2} R_{22} = \bar{\Phi}_{22}$$

$$= \frac{R}{24}$$

(3.)

$$-C_{1213} = -C_{\alpha\beta\gamma\delta} L^\alpha n^\beta L^\gamma m^\delta$$

$$-\frac{1}{2} \left(C_{1212} + C_{1234} \right) = -\frac{1}{2} C_{\alpha\beta\gamma\delta}$$

$$\times (L^\alpha n^\beta L^\gamma n^\delta + L^\alpha n^\beta m^\gamma \bar{m}^\delta)$$

$$C_{224} = C_{\alpha\beta\gamma\delta} L^\alpha n^\beta n^\gamma \bar{m}^\delta$$

$$-C_{2424} = -C_{\alpha\beta\gamma\delta} n^\alpha \bar{m}^\beta n^\gamma \bar{m}^\delta$$

$$= \rho \omega + \sigma \bar{\omega} - (\bar{\alpha} + \beta) \quad (3.9)$$

$$\tau \xi^i + \bar{\tau} \bar{\xi}^i \quad (3.10)$$

$$\tau \bar{\omega} + \bar{\tau} \omega - (\gamma + \bar{\gamma}) \quad (3.11)$$

$$\xi^i = (\mu + \bar{\gamma} - \gamma) \xi^i + \bar{\lambda} \bar{\xi}^i \quad (3.12)$$

$$\bar{\xi}^i = (\bar{\beta} - \alpha) \bar{\xi}^i + (\bar{\alpha} - \beta) \xi^i \quad (3.13)$$

$$\omega = (\bar{\beta} - \alpha) \omega + (\bar{\alpha} - \beta) \bar{\omega} + (\mu - \nu) \quad (3.14)$$

$$\omega = (\mu + \bar{\gamma} - \gamma) \omega + \bar{\lambda} \bar{\omega} - \bar{\nu}$$

$$D\psi_1 + D\phi_{01} - \delta\phi_{00} = 4\alpha\psi_0 - 4\rho\psi_1 - (2\tau + 2\bar{\tau})\psi_2 + 2\rho\phi_{01} + 2\sigma\phi_{10}$$

$$D\phi_{02} - \delta\phi_{01} = (4\gamma - \mu)\psi_0 - 2(2\tau + 2\bar{\tau})\psi_1 + 3\sigma\psi_2 - \lambda\phi_{00} - 2\beta\phi_{01} + 2\sigma\phi_{11} + \rho\phi_{20}$$

$$\psi_2) + 2(D\phi_{11} - \delta\phi_{10}) + \bar{\delta}\phi_{01} - \Delta\phi_{00} = 3(2\alpha + 2\bar{\alpha})\psi_1 + (\bar{\mu} - 2\mu - 2\gamma - 2\bar{\gamma})\phi_{00} + (2\alpha + 2\bar{\alpha} - 2\tau - 2\bar{\tau})\phi_{10} + 2\rho\phi_{11} + 2\sigma\phi_{20} - \bar{\sigma}\phi_{21}$$

$$\psi_2) + 2(D\phi_{12} - \delta\phi_{11}) + (\bar{\delta}\phi_{02} - \Delta\phi_{01}) + 6(\gamma - \mu)\psi_1 - 9\tau\psi_2 + 6\sigma\psi_3 + 2(\bar{\mu} - \mu - \gamma)\phi_{01} - 2\lambda\phi_{10} + 2\tau(2\alpha + \bar{\tau} - 2\beta)\phi_{02} + 2\sigma\phi_{21}$$

$$\psi_3) + (D\phi_{21} - \delta\phi_{20}) + 2(\bar{\delta}\phi_{11} - \Delta\phi_{10}) + 6\rho\psi_3 - 2\tau\phi_{00} + 2\lambda\phi_{01} + 2(\bar{\mu} -$$

$$6\rho\psi_3 - 2\tau\phi_{00} + 2\lambda\phi_{01} + 2(\bar{\mu} -$$

$$6\rho\psi_3 - 2\tau\phi_{00} + 2\lambda\phi_{01} + 2(\bar{\mu} -$$

$$6\rho\psi_3 - 2\tau\phi_{00} + 2\lambda\phi_{01} + 2(\bar{\mu} -$$

$$6\rho\psi_3 - 2\tau\phi_{00} + 2\lambda\phi_{01} + 2(\bar{\mu} -$$

$$+ \delta \phi_{21} - \Delta \phi_{20} = 3\lambda \psi_2 - 2\alpha \psi_2$$

$$2\nu \phi_{01} + 2\lambda \phi_{11} + (2\gamma - 2\bar{\gamma} + 2(\bar{\tau} - \alpha) \phi_{21} - \bar{\sigma} \phi_{22} \quad (3.57)$$

$$+ \delta \phi_{22} - \Delta \phi_{21} = 3\nu \psi_2 - 2(4\beta - \bar{\tau}) \psi_2 - 2\nu \phi_{11} - \bar{\nu} \phi_{20} + 2(\gamma + \bar{\mu}) \phi_{21} + (\bar{\tau} - 2\bar{\beta} - 2$$

$$\phi_{02} + \Delta \phi_{01} + 3\delta \Lambda = (2\gamma - \mu - 2\bar{\mu})$$

$$\phi_{11} + (2\bar{\beta} - 2\alpha - \bar{\tau}) \phi_{02} + 3\rho \phi_{12} + \sigma \phi_{10}$$

$$\phi_{01} + \Delta \phi_{00} + 3\mathcal{D}\Lambda = (2\gamma - \mu + 2\bar{\gamma} - \bar{\mu})$$

$$\tau) \phi_{10} + 4\rho \phi_{11} + \bar{\sigma} \phi_{02} + \sigma \phi_{20}$$

$$- \delta \phi_{12} + \Delta \phi_{11} + 3\Delta \Lambda = \nu \phi_{01} + \bar{\nu} \phi_{10}$$

$$\lambda \phi_{11} + \bar{\lambda} \phi_{11} + (2\bar{\beta} - 2\alpha - \bar{\tau}) \phi_{02} + 3\rho \phi_{12} + \sigma \phi_{10}$$

$$Z = H(\cosh h u)$$

-p

$$\Omega^2 \left[-du^2 + 2dudt - \sinh^2(t-u) \right]$$

l coordinate

late τ , the affine parameter, we note t

parameter for the metric within the squa

erefore
$$\tau = \int \Omega^2 dt + B(u, \theta, \phi)$$

fine parameter for (4.1)

stant along the null geodesic. Normali

n so that $r = 0$ when $t = u$. Howe

t will be more convenient to make it zer

s

$$\tau = \int_0^t \Omega^2 dt'$$

at surfaces of constant r are surface

. This may seem rather odd, but it sho

t that the choice of B will not affec

endence of quantities. That is, if

$$\rho = H^2 \left[\frac{1}{4} \sinh^2 t - 2 \sinh t + \frac{3}{2} \right]$$

in the universe is assumed to be dust so

T_{ab} may be written

$$T_{ab} = \mu V_a V_b$$

in the perturbed case, from Chapter 2

$$\mu = \frac{6A}{\Omega^3}$$

$$V_a = \Omega \epsilon_{,a}$$

$$V_a V^a =$$

$$= \sqrt{2} S + A - \frac{3A^2}{2\sqrt{2}} \frac{\log S}{S} + O(S^{-1})$$

$= \rho$

we try to expand μ as a series in powers of S

it will be very messy and will involve terms like

$$\frac{\log^n S}{S^2} \quad *$$

we pointed out that the expansions used were

assumed to be valid asymptotically. They will

undisturbed case. That is

$$\begin{aligned} &= A(\cosh t - 1) \\ &A^2 \left[\frac{1}{4} \sinh 2t - 2 \sinh t + \frac{3}{2} t \right] \end{aligned}$$

$$\begin{aligned} &= \frac{\sqrt{1 + \frac{2A}{\Omega}}}{\Omega} \\ &= \frac{1}{\Omega} \left[1 + \frac{A}{\Omega} - \frac{A^2}{2\Omega^2} + \frac{A^3}{2\Omega^3} - \frac{5A^4}{8\Omega^4} \right] \end{aligned}$$

and fourth coordinates it is more convenient than spherical polars.

The matter is dust its energy-momentum tensor

Ricci-tensor have only four independent

We will take these as $\Lambda, \phi_{00}, \phi_{01}$

is complex it represents two components

these the other components of the Ricci-t

is expressed as:

$$= 3\Lambda + \frac{\phi_{01} \bar{\phi}_{01}}{\Omega}$$

$$\overline{\phi_{00}}$$

disturbed universe with the coordinate system

$$\Lambda = \frac{\mu}{24} = \frac{A}{4\Omega^3}$$

$$\phi_{00} = \frac{3A}{\Omega^5}$$

$$\phi_{11} = \frac{3A}{4\Omega^3}$$

$$\phi_{22} = \frac{3A}{4\Omega}$$

$$\phi_{01} = \phi_{02} = 0$$

values and the fact that in the undisturbed

the ψ^{4s} are zero, we may integrate

to find the values of the spin coefficients

universe:

$$= -\frac{2}{\Omega^2} - \frac{A}{\Omega^3} + \left(\frac{A^2}{2} - \frac{A^2}{2} e^{2u}\right) \Omega$$
$$A^3 \left(\frac{1}{2} - e^{2u}\right) \Omega^{-5} + A^4 \left(\frac{5}{8} - \frac{7}{4} e^{2u} - \frac{1}{8}\right)$$

$$\Omega^2$$

conditions

to consider radiation in a universe that
y approaches the undisturbed universe gi
 ϕ_{00} and Λ will then have th
plus terms of smaller order. To determi
order of ϕ_{01} and ψ_0 , there a
we may proceed. We may take the smalle
mit radiation, that is $\psi_H = O(r^{-1})$
terms than these in ϕ_{00} , Λ
turn out to have their \mathcal{L} derivativ
y on themselves and not on the r^{-1} coeff
, the radiation field. They are thus di
by the radiation field and will not be c

condition that $\psi_0 = O(r^{-3})$. Then substitute
 in equations (3.10 - 27) calculate the
 in the spin coefficients and substitute
 Bianchi Identities, calculate the distur
 . Further iteration does not affect the
 perturbances.

These methods indicate that the boundary of

$$\Lambda = \frac{A}{4\Omega^3} + O(\Omega^{-7})$$

$$\phi_{00} = \frac{3A}{\Omega^5} + O(\Omega^{-9})$$

$$\phi_{01} = O(\Omega^{-7}) \quad (\text{see next section})$$

$$\psi_0 = O(\Omega^{-7})$$

the "uniform smoothness", that is:

$$\frac{\partial}{\partial x^j} \dots \frac{\partial}{\partial x^l} \Lambda = O(\Omega^{-7})$$

an and Penrose, we begin by integrating t

. 10 & 11)

$$= \rho^2 + \sigma\bar{\sigma} + \phi_{00}$$

$$= 2\rho\sigma + \psi_0$$

$$= \frac{3A}{4\sqrt{2}r^{\frac{5}{2}}} + O(r^{-3})$$

$$= \frac{\psi_0}{8\sqrt{2}r^{\frac{7}{2}}} + O(r^{-4})$$

$$= \begin{bmatrix} \rho & \sigma \\ \bar{\sigma} & \rho \end{bmatrix} \quad \varphi = \begin{bmatrix} \phi_{00} \\ \psi_0 \end{bmatrix}$$

$$= F + O(1)$$

where F is constant

$$= rF + O(r)$$

$$= O(r^{-\frac{3}{2}})$$

$$\psi = -r\varphi F + O(r^{-\frac{3}{2}}) = O(r^{-\frac{3}{2}})$$

$$\psi = F + O(r^{-\frac{1}{2}})$$

$$= rF + O(r^{\frac{1}{2}}) + rE, \quad E \text{ is constant}$$

$$= -r^{-1}I + O(r^{-\frac{3}{2}})$$

singular (The case F singular corresponds to plane or cylindrical surfaces and will be treated separately).

$$-r^{-1} + O(r^{-\frac{3}{2}}) = -2\Omega^{-2} + O(\Omega^{-3})$$

$$O(r^{-\frac{3}{2}}) = O(\Omega^{-3})$$

$$-2\Omega^{-2} + g\Omega^{-3}$$

$$h\Omega^{-3}$$

$$= O(1)$$

$$= \frac{1}{\Omega + O(1)} \left[a + \int \frac{(-A + O(\Omega^{-1})) (\Omega + O(1))}{\Omega + O(1)} \right]$$

$$g = -A + O\left(\frac{\log \Omega}{\Omega}\right)$$

$$(\Omega + O(1)) = -h + O(\Omega^{-1})$$

$$h = O(\Omega^{-1})$$

$$= O(\log \Omega)$$

$$= O(1)$$

$$(\Omega + O(1)) = O(\Omega^{-1})$$

$$h = \sigma^0(u, x^c) + O(\Omega^{-1})$$

$$(-\Omega + O(1)) = O(\Omega^{-1} \log \Omega)$$

$$g = \rho^0(u, x^c) + O(\Omega^{-1} \log \Omega)$$

and Unti, we cannot make ρ^0 zero

ation $\varphi' = \varphi - \rho^0$, since this would

boundary condition $\Lambda = \frac{A}{4\Omega^3} + \frac{\Lambda^0}{\Omega^7}$

the above process we derive:

$$-2\Omega^{-2} - A\Omega^{-3} + \rho^0 \Omega^{-4} + \left(\frac{1}{2}A^2 - 2A\rho^0\right)$$

$$-5A^4 + (A^2 \rho^0 - \rho^0{}^2 - \sigma^0 \bar{\sigma}^0) \Omega^{-6}$$

is of x such that:

$$B = O(x^{-2}), \quad b = O(x^{-2})$$

matrix A is independent of x and
with positive real part. Any eigenvalue
with negative real part is regular. Then all solutions of

$$\frac{d}{dx} y = (Ax^{-1} + B)y + b$$

as $x \rightarrow \infty$ y is a

to be explained below, we will assume

at

$$\phi_{01} = O(\Omega^{-5})$$

$$\frac{\partial}{\partial \Omega} \phi_{01} = O(\Omega^{-6})$$

$$\frac{\partial}{\partial x^j} \dots \phi_{01} = O(\Omega^{-5})$$

the column vector

$$\begin{array}{cccccccc|c}
 & & & & & & & & 0 \\
 & & & & & & & & 0 \\
 & & & & & & & & 0 \\
 & & & & & & & & 0 \\
 & & & & & & & & 0 \\
 & & & & & & & & 0 \\
 & & & & & & & & 0 \\
 & & & & & & & & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 -1 & -1 & 0 & 0 & 0 & 0 & 0 & -2 & 0 \\
 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & -2
 \end{array}$$

b are $O(\Omega^{-1})$

expressions invol-

$$\frac{\partial \psi_0}{\partial \Omega}, \frac{\partial \psi_0}{\partial x}, \phi_{01}$$

and $\frac{\partial}{\partial \Omega}$

$$= O(\Omega^{-5})$$

$$\xi^4 = O(\Omega^{-2})$$

$$\omega = O(1)$$

a null rotation of the tetrad on each nu

$$L'_{\mu} = L_{\mu}$$

$$N'_{\mu} = N_{\mu} + a \bar{M}_{\mu} + \bar{a} \bar{M}'_{\mu} + a \bar{a}$$

$$M'_{\mu} = M_{\mu} + a L_{\mu}$$

constant along the geodesic since the tetrad is transported.

Choosing $a = -\frac{1}{2} \tau^0$ we may make τ^0

rotation

$$\phi'_{01} = \phi_{01} + a \phi_{00}$$

we have specified the null rotation we can

impose a boundary condition on ϕ_{01} more severe

5) . We will specify the null rotation

and in that tetrad system will impose the

condition that $\phi_{01} = O(\Omega^{-7})$ and is uniform

using this condition on ϕ_{01} and

back in equation (3.44)

$$\omega = O(\Omega^{-2} \log \Omega)$$

(3.51)

$$\psi_1 = O(\Omega^{-7} \log \Omega)$$

(3.12)

$$\tau = O(\Omega^{-5} \log \Omega)$$

(3.44)

$$\omega = \omega^0 \Omega^{-2} + O(\Omega^{-3} \log \Omega)$$

(3.51)

$$\psi_1 = O(\Omega^{-7})$$

(3.12)

$$\tau = O(\Omega^{-5})$$

(3.44)

$$\omega = \omega^0 \Omega^{-2} + O(\Omega^{-3})$$

ating the equations used with respect to

that $\psi_1, \alpha, \beta, \tau, \xi^L, \omega$

both.

$$[\Omega \quad \mu]$$

3.16; 3.17; 6.28.

$$A = \begin{bmatrix} -2 & 0 & 3A \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

b are

$$O(\Omega^{-2})$$

$$f_{12} = O(\Omega^{-4})$$

$$A = O(\Omega^{-2})$$

$$c = O(\Omega^{-1})$$

and are uniformly smooth

and (3.17), we may show

$$= \frac{A}{2} \Omega^{-1} + O(\Omega^{-2} \log \Omega)$$

(6.28)

$$\psi_2 = O(\Omega^{-5} \log \Omega)$$

(17)

$$-A\beta^0\Omega^{-3} + \frac{1}{2}(3A^2\beta^0 - \rho^0\beta^0 + \bar{\beta}^0\sigma^0)$$

$$\frac{A}{2}\Omega^{-1} + \frac{A^2}{4}\Omega^{-2} + O(\Omega^{-3})$$

$$-A(\lambda^0 + \frac{\sigma^0}{2})\Omega^{-3} + O(\Omega^{-4})$$

$$-A\Omega^3\xi^{\mu 0} + \frac{1}{2}(3A^2\xi^{\mu 0} - \rho^0\xi^{\mu 0} - \bar{\xi}^{\mu 0}\sigma^0)\Omega^3 + O(\Omega^{-5})$$

$$\frac{1}{2}(\gamma^0 + \bar{\gamma}^0)\Omega^2 + A(1 + \gamma^0 + \bar{\gamma}^0)\Omega - \frac{3}{2} \times (1 + \gamma^0 + \bar{\gamma}^0)\omega_J\Omega + \nu^0 +$$

on (3.55) + 2x (3.59)

$$+ D\phi_{21} - \delta\phi_{20} + 2\bar{\delta}\Lambda = 2\lambda\psi_1 - 2$$

$$(\mu)\phi_{10} + 2(\beta - \bar{\alpha})\phi_{20} + 2\rho\phi_{21}$$

normality relations (2.2)

$$\begin{aligned}
 &= X^L - (\xi^i \bar{\omega} + \bar{\xi}^L \omega) \\
 &= X^{L0} + O(\Omega^{-4}) \\
 &= -(\xi^L \bar{\xi}^j + \bar{\xi}^L \xi^j) \quad i, j = \\
 &\quad - (\xi^{i0} \bar{\xi}^{j0} + \bar{\xi}^{i0} \xi^{j0}) (\Omega^{-4} - 2A\Omega^{-5}) + O
 \end{aligned}$$

the coordinate transformation

$$\begin{aligned}
 x^i &= u \\
 &= r \\
 x^i &= X^i + C^i(u, x^i)
 \end{aligned}$$

$$= -X^{30} (1 + C_{,3}^3) - X^{40} C_{,4}^3$$

$$= -X^{40} (1 + C_{,4}^4) - X^{30} C_{,3}^4$$

the coordinate freedom

$$x^i = D^i(x^i)$$

radial equations of $\{3$, relations
 ion constants of the radial equations ma

tion 3.23 the term in Ω^{-1} is

$$-\frac{3}{4}A(\gamma^0 + \bar{\gamma}^0) - \frac{3}{4}A$$

$$\gamma^0 + \bar{\gamma}^0 = -1$$

(6.37)

$$U = \frac{1}{2}\Omega^2 + U^0 + O(\Omega^{-1})$$

(3.50), the constant term is

$$V^0$$

$$V^0 = 0$$

term in (3.47)

$$P - P_{,i} = (\bar{\gamma}^0 - \gamma^0)P$$

spatial rotation of the tetrad

$$m^{\mu} = e^{-\iota\phi} M^{\mu}$$

real. We take $S = \frac{1}{\sqrt{2}} \left(1 + \frac{1}{4} (x^3 + x^4) \right)$, the
project factor for a 2-sphere.

term in equation (3.48)

$$\mu^0 = \frac{1}{2} \bar{\nabla} S e^u$$

$$= -\frac{1}{2} \nabla S e^u$$

(7.5)

$$= \frac{\partial}{\partial x^3} + \iota \frac{\partial}{\partial x^4}$$

term in (3.21)

$$\nabla \bar{\nabla} S + S \bar{\nabla} \nabla S = -2\mu^0 - \frac{A^2}{2}$$

$$= -\frac{A^2}{4} - \frac{S^2}{2} \nabla \bar{\nabla} \log S e^{2u}$$

$$= -\frac{A^2}{4} [1 + e^{2u}]$$

(3.60) (3.61)

ϕ $\Omega(\Omega-7)$

$$-\frac{A^2}{8} + \frac{3U^0}{4} + \frac{\rho^0}{4} + \frac{A^2 e^{2u}}{8}$$

coordinate transformation*

$$\varphi' = \varphi - \int_{u_0}^u H du''$$

$$H' = 0$$

$$U^0 = \frac{A^2}{6} - \frac{A^2 e^{2u}}{6} - \frac{\rho^0}{3}$$

⁴ term in (3.26)

$$-\rho^0 + 4U^0 = -\frac{A^2}{2} (1 + e^{2u})$$

$$\rho_{,11}^0 - \frac{7}{3}\rho^0 + \frac{7}{6}A^2 - \frac{1}{6}A^2 e^{2u}$$

$$\rho^0 = \frac{A^2}{2} (1 - e^{2u}) + C(x^u)$$

$$(\bar{\sigma}_{,1}^{\circ} - \bar{\sigma}^{\circ}) e^{\omega} \nabla S - \frac{1}{2} e^{\omega} S \nabla (\bar{\sigma}_{,1}^{\circ})$$

term in (3.50)

$$\omega_{,1}^{\circ} = \frac{1}{2} \bar{\psi}_3^{\circ}$$

$$\frac{e^{\omega}}{4} (S \nabla \bar{\sigma}^{\circ} - 2 \bar{\sigma}^{\circ} \nabla S) + K(x^4) e^{2\omega}$$

term in (3.20)

$$\circ + 4\omega^{\circ} - e^{\omega} S \nabla \bar{\sigma}^{\circ} = -2\bar{\sigma}^{\circ} \nabla S e$$

$$C = K = 0$$

$$U^{\circ} = 0$$

$$\circ = \frac{A^2}{2} (1 - e^{2\omega})$$

(7.16), in (6.28)

$$\psi_2 = O(\Omega^{-6} \log \Omega)$$

$$\begin{aligned} (17) &= \frac{A}{2} \Omega^{-1} - \frac{A^2}{4} (1 + e^{2\omega}) \Omega^{-2} + \frac{A^3}{2} \\ &+ O(\Omega^{-4} \log \Omega) \end{aligned}$$

$$\phi_{00} = O(\Omega^{-1})$$

$$\Lambda = O(\Omega^{-7})$$

$$\rho_0 = O(\Omega^{-7})$$

the boundary conditions (5.1-4)

surface, they will hold on succeeding

$$\psi_4 = O(\Omega^{-2})$$

off" behaviour is therefore:

$$= O(r^{-1})$$

$$= O(r^{-2})$$

$$= O(r^{-3})$$

$$\phi_{0i}^0 \Omega^{-7} + \phi_{0i}^1 \Omega^{-8} + o(\Omega^{-9})$$

$$\psi_0^0 \Omega^{-7} + \psi_0^1 \Omega^{-8} + o(\Omega^{-9})$$

$$A \Omega^{-3} + \Lambda^0 \Omega^{-7} + o(\Omega^{-8})$$

$$4$$

$$3A \Omega^{-5} + \phi_{00}^0 \Omega^{-9} + o(\Omega^{-10})$$

$$= -2\Omega^{-2} - A\Omega^{-3} + \frac{A^2}{2}(1 - e^{2u})\Omega^{-4}$$

$$+ \left[A^4 \left(\frac{5}{8} - \frac{7}{8}e^{2u} - \frac{1}{8}e^{4u} \right) - \frac{\sigma^0 \bar{\sigma}^0}{2} \right]$$

$$\frac{1}{3} \left[A^5 \left(-\frac{37}{8} + 8e^{2u} + 8e^{4u} + 2e^{6u} \right) \right]$$

$$\bar{\sigma}^0 (3A\sigma^0 + \psi_0^0) + \sigma^0 (3A\bar{\sigma}^0 + \psi_0^0)$$

$$o(\Omega^{-8})$$

$$\sigma^0 \Omega^{-4} - (2A\sigma^0 + \psi_0^0) \Omega^{-5} + o(\Omega^{-6})$$

Ω^{-5})

$$-\frac{A^2}{4}(1+e^{2u})\Omega^{-2} + \frac{A^3}{4}(1+2$$

$$\left(\frac{5}{8} + \frac{7}{4}e^{2u} + \frac{1}{8}e^{4u}\right)^4 + \frac{6}{2}(\bar{\sigma}_{,1}^0$$

$$+ O(\Omega^{-5})$$

$$(\bar{\sigma}_{,1}^0 - \bar{\sigma}^0)\Omega^{-2} - \frac{A\bar{\sigma}_{,1}^0}{2}\Omega^{-3} + O(\Omega^{-5})$$

$$(\bar{\sigma}_{,1}^0 - \bar{\sigma}^0)e^u \nabla S - \frac{1}{2}e^u S \nabla(\bar{\sigma}_{,1}^0$$

$$\Omega^{-3})$$

$$\bar{\nabla} S \Omega^{-2} - \frac{1}{2}Ae^u \bar{\nabla} S \Omega^{-3}$$

$$\left[A^2 e^u \nabla S \left(\frac{5}{2} + \frac{1}{2}e^u\right) + e^u (\nabla S$$

$$S [\phi_{0i}^0 + \bar{\phi}_{0i}^0 + \psi_i^0 + \bar{\psi}_i^0] \Omega^{-1}$$

$$+ \frac{3}{2} \sigma_{,ii}^0 + -\frac{1}{2} \sigma_{,iii}^0] \Omega^{-2} + [-\frac{3}{2}$$

$$\bar{\sigma}_{,iii}^0] \Omega^{-3} + [A^2 (\bar{\sigma}^0 + \frac{3}{4} \bar{\sigma}_{,ii}^0 - \frac{3}{8}$$

$$(-\bar{\sigma}^0 + \frac{3}{2} \bar{\sigma}_{,ii}^0 - \frac{1}{2} \bar{\sigma}_{,iii}^0) e^{2u}$$

$$- \bar{\sigma}^0)^{\frac{3}{2}} \nabla (S (\bar{\sigma}_{,ii}^0 - \bar{\sigma}^0)^{\frac{1}{2}})$$

$$+ \bar{\psi}_0^0] \Omega^{-4} + o(\Omega^{-5})$$

$$(\bar{\sigma}_{,ii}^0 - \bar{\sigma}^0) \nabla S - e^u S \nabla (\bar{\sigma}_{,ii}^0 -$$

$$-2 (\bar{\sigma}_{,ii}^0 - \frac{11}{8} \bar{\sigma}^0) \nabla S - S \nabla (\bar{\sigma}_{,ii}^0 -$$

$$+ \bar{\psi}_0^0] \Omega^{-5} + o(\Omega^{-6})$$

$$\int \dots + \dots \log \dots$$

$$\begin{aligned} \dots_2^0 &= \int e^{2u} \left(\frac{S^2}{2} \bar{\nabla} \bar{\nabla} \sigma^0 - S (\bar{\nabla} S) \right) \\ &+ \sigma^0 (\bar{\nabla} S)^2 - \sigma^0 S \bar{\nabla} \bar{\nabla} S - \dots \end{aligned}$$

\dots_2^0 is undetermined

$$\Omega^{-7} + \psi_1' \Omega^{-8} \log \Omega + \psi_1^2 \Omega^{-8} + \dots$$

$$\begin{aligned} e^u &\left[S \bar{\nabla} \left(\psi_0^0 + \frac{15}{4} \sigma^0 \right) + (2\psi_0^0 + \dots \right. \\ &\left. - 3\phi_{01}^0 \right] \end{aligned}$$

$$-e^u (S \bar{\nabla} - 2 \bar{\nabla} S) (5A \psi_0^0 - \psi_0^0 - \dots)$$

hypersurface, it will remain zero. In t
 e to continue the expansions of all quan
 powers of Ω without any log terms appe

Asymptotic Group

ic has the form:

$$\begin{aligned}
 g^{11} &= g^{13} = g^{14} = 0, & g^{12} &= 1 \\
 g^{22} &= \Omega^2 + O(\Omega^{-2}) \\
 g^{2i} &= O(\Omega^{-4}) \\
 g^{ij} &= -2P^2 \delta^{ij} \Omega^{-4} + 2AP^2 \delta^{ij}
 \end{aligned}$$

c group is the group of coordinate trans
 e form of the metric and of the boundary
 t can be derived most simply by consideri

$$\begin{aligned}
 0_0 &= \epsilon R_{i\alpha} \kappa^{\alpha}_{ji} + \frac{1}{2} \epsilon R_{\alpha\alpha} \kappa^{\alpha}_{ji} \\
 &= \frac{1}{2} \epsilon (R_{i\alpha} \kappa^{\alpha}_{j3} + R_{3\alpha} \kappa^{\alpha}_{ji} + R_{\alpha\alpha} \kappa^{\alpha}_{ji})
 \end{aligned}$$

asymptotic group we demand

$$\bar{\delta} g^{12} = \bar{\delta} g^{13} = \bar{\delta} g^{14} = 0$$

$$O(\Omega^{-2})$$

$$O(\Omega^{-4})$$

$$O(\Omega^{-6})$$

$$O(\Omega^{-7})$$

$$O(\Omega^{-9})$$

$$O(\Omega^{-7})$$

$$\therefore K'_{,1} = 0$$

$$K^i = K^{0i}(x^i)$$

$$K^3_{,2} + g^{33} K'_{,3} + g^{34} K'_{,4}$$

$$K^3 = K^{03}(u, x^i) - 4\sigma^{-1} p^2 K'_{,3} +$$

$$O(\Omega^{-4}) = K^3_{,1} + 2\sigma K^3_{,1} + O(\Omega)$$

$$\therefore K^{03} = K^{03}(x^i)$$

$$K^j_{,2} + g^{j2} K^i_{,2} + g^{ik} K^j_{,k} + g^{ij} K^k_{,k}$$

$$K^0_{,3} = -K^0_{,4}$$

$$K^0_{,3} = K^0_{,4}$$

$$S_{,3} K^0_{,3} - S_{,4} K^0_{,4} - 2S K' = 0$$

3) imply that K^{0i} is an analytic function

This is a consequence of the fact that

$$x^4 = \frac{a(x^3 + ix^4) + b}{c(x^3 + ix^4) + d}$$

$$ad - bc = 1$$

parameters a, b, c, d are given K' is u

(8.14) K^2 is also uniquely determined

c group is isomorphic to the conformal g

s. Sachs (7) has shown that this is iso

neous Lorentz group. It is also however

of motions of a 3-space of constant nega

ch is the group of the unperturbed Rober

Thus the asymptotic group is the same

undisturbed space. It is not enlarged b

adiation. This is interesting because i

tational radiation in empty, asymptotica

ns out that the asymptotic group contain

dimensional inhomogeneous Lorentz group,

observer would measure

velocity vector V_m of an observer moving w

$$= \Omega^{-1} + O(\Omega^{-5})$$

$$= \frac{1}{2}\Omega + O(\Omega^{-3})$$

$$= O(\Omega^{-3})$$

$$= O(\Omega^{-3})$$

projection of the wave vector l^a in
rest-space (the apparent direction of the

$$= \delta_m^2 - V_m V^m$$

$$= \Omega^{-2} + O(\Omega^{-5})$$

's orthonormal tetrad may be completed by

unit vectors

$$S_m$$

and

$$t_m$$

$$(\Omega^{-4})$$

$$t_1 = 0(\Omega)$$

$$\Omega^{-2})$$

$$t_2 = 0(\Omega)$$

$$t_3 = \frac{-i}{\sqrt{2}}$$

$$t_4 = \frac{i}{\sqrt{2}}$$

$$= (V^\alpha, q^a, S^a, t^\alpha)$$

the relative accelerations of neighbour

the observer may determine the 'electric'

$$\begin{bmatrix} 0 & -1 \end{bmatrix}$$

$$\begin{bmatrix} 0 & 1 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 1 \\ 0 & 0 \\ 0 & 0 \end{bmatrix} O(\sqrt{2}^{-5}) + \begin{bmatrix} 0 & 1 & -1 \\ 1 & 0 & 0 \\ -1 & 0 & 0 \end{bmatrix} O(\sqrt{2}^{-5})$$

$$\begin{bmatrix} 0 & 0 \\ 1 & 0 \\ -\frac{1}{2} & 0 \end{bmatrix} O(\sqrt{2}^{-6})$$

be compared to the behaviour for asymptotically

for which

$$\begin{bmatrix} 0 & 0 \\ 1 & 0 \\ 0 & -1 \end{bmatrix} O(r^{-1}) + \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} O(r^{-1})$$

r
rose

n
Unti

Proc. Roy. Soc. A. 270 1

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Einstein equations without cosmological constant, a Robertson-Walker model can 'bounce' only if the pressure is less than minus density. This is clearly not a property possessed though it might be possessed by a field of negative energy density like the 'C' field. However quantum-mechanical difficulty associated with negative energy density, for there would prevent the creation, in a given volume of an infinite number of quanta of the negative corresponding infinity of particles of positive energy therefore exclude such fields, all Robertson-Walker models must be of the 'big-bang' type, that is singularities in the past and maybe one in the future. It has been suggested ¹ that the occurrence of singularities is a consequence of the high degree of homogeneity in the Robertson-Walker models which restrict

Raychaudhuri Equation

Expansion $\theta = V^a_{;a}$ of a time-like
with unit tangent vector V^a obeys equation

$$\frac{d\theta}{ds} = -\frac{1}{3}\theta^2 - 2\sigma^2 + 2\omega^2 - R_{ab}V^aV^b$$

will be said to be a singular point on
a time-like geodesic congruence if θ for the
on γ at q . A point q will be said
to a point p along a geodesic γ if it
is a point on γ of the congruence of all time-like
geodesics through p . A point q will be said to be
conjugate to p if it is a singular point of the
congruence of geodesic normals to H^3 . An
equation of conjugate points may be given as follows

$$\frac{D^2 K^a}{DS^2} = R^a{}_{bcd} V^b V^c K^d$$

an orthonormal tetrad e_m^a parallelly transported we have

$$e_m^a = V^a$$

$$= -\frac{r}{m} K_n$$

$$= e_m^a e_n^b R_{acbd} V^c V^d$$

(4) will be called a Jacobi field. The independent solutions. Since V^a and the other six independent solutions of (4) are normal to V^a . Then q is conjugate to p if, and only if, there is a Jacobi field vanishing at p and q . This may be shown as follows. Fields which vanish at p may be regarded

written

$$= A(s) \frac{dK^n}{ds} \Big|_p$$

$$\frac{A_{mn}}{s} = V \overset{r}{A}_n$$

(s) will be positive definite. There

ld vanishing at p and q if, and only

$$= \exp\left(\int_p^s V_{m:n} ds'\right)$$

$$= \frac{1}{\det(A)_{mn}} \frac{d}{ds} (\det(A)_{mn})$$

$$\frac{A_{mn}}{s} = -a \overset{r}{A}_n$$

$\frac{d}{ds} (\det(A)_{mn})$ is finite

infinite where and only where $\det(A)_{mn}$

angular point of a null geodesic congruence
is infinite.

condition that the pressure is greater than
density may be stated more generally as

$$E > 0, \quad E > \frac{1}{2} T, \quad \text{for an}$$

4-velocity ω^a , where $E = T_{ab} \omega^a \omega^b$

density in the rest-frame of the observer
is the rest-mass density.

(a) will be satisfied by a perfect fluid
and pressure $p > -\frac{1}{3}\mu$. It implies $R_{ab} v^a v^b > 0$
or null vector v^a . Therefore by eq

any time-like or null irrotational geodesic
must have a singular point on each geodesic
within a finite distance. Obviously if the flow-line
of a null geodesic congruence, there will be a
singularity at the singular points of the congruence

considering models that are spatially homogeneous (that is, they have a three parameter transitive on a space-like hypersurface) flow may have rotation, acceleration and it would seem to be the possibility of non-singular. Shepley⁴ has investigated one particular model containing rotating dust and has shown it always a singularity. Here a general result

must be a singularity in every model which is homogeneous and isotropic and,

exists a G_r of motions on the space or covering space * , $r \geq 3$ which is transitive

ion 5

space-like surface but space-time is not singular. The energy-momentum tensor is that of a perfect

$$= \frac{e(R; a) R_{;a}}{\sqrt{f}}$$

$$R_{;a} = f > 0$$

is an indicator = +1 if $R_{;a}$ is past directed
 = -1 if $R_{;a}$ is future directed

congruence of geodesic irrotational timelike
 condition (a), $R_{ab} V^a V^b > 0$

the congruence must have a singular point
 equation 1) either in the future or in the past

the homogeneity, the distance along each

the singular point must be the same for each

surfaces of transitivity remain space-like

into, at the most, a 2-surface C^2 which

defined. Let M be the subset of the future

which intersect C^2 . Let $\{$ be the

do not remain space-like, there must be a surface which is null - call this S^3 . At S^3 if $R_{;a}$ is zero, we can take any other scalar on the curvature tensor and its covariant derivatives all be zero if space-time is not stationary. For a geodesic irrotational null congruence on S^3 or L^a where $L_a R_{;a}$. Then by equation (1) we have a singular point of each null geodesic. The affine distance either in the future or in the past of a surface of these singular points will be finite. The same argument used before shows that there is a finite affine distance and there is a physical singularity. For a surface of homogeneity, the whole of S^3 will be a surface of homogeneity, it is not meaningful to call it null or space-like in this case from the case where the surfaces of homogeneity remain space-like.

Conditions (a), (b), (c) may be weakened in

(d) that there is a group of motions through

aneous space section.

ist equations of state such that the Cau
f H^3 is determinate.

ceeding space-like surfaces of constant
us and much the same proof can be given
ngular models satisfying (a), (b), (c),
property of perfect fluids that has bee
proof is that they have well defined flo
of which implies a physical singularity.
property will be possessed by a much mo
ds. For these, we define the flow vecto
envector (assumed unique) of the energy-
we can replace condition (c) on the nat
the much weaker condition (e).

odel is singularity-free, the flow-lines
-like congruence with no singular points
h each point of space-time.

n (e) will be satisfied automatically if

cannot prevent the singularity.

of interest to examine the nature of the
homogeneous anisotropic models since this is
representative of the general case than
the models. It seems that in general the
direction,⁵ that is, the universe will
surface. Near the singularity, the volume
to the time from the singularity irrespe
nature of the matter. It also appears t
particle horizon is different. There w
horizon in every direction except that in
is taking place.

Properties in Inhomogeneous Models

and Khalatnikov⁶ claim to have proved t
tion of the field equations will not have
Their method is to contract a solution

one would expect collapse in one direction.
In fact their claim has been proved false
in the case of a collapsing star using the
'trapped surface'. A similar method will
show the occurrence of singularities in 'open'
models.

'Closed' Models

The method used by Penrose to prove the occurrence
of a singularity depends on the existence of a
Cauchy surface. A Cauchy surface will be a
complete, connected space-like surface that
intersects every time-like and null line once and once
only. Examples of spacetimes which possess a Cauchy surface:
include the plane-wave metrics,⁸ the Gödel
universe,⁹ and the de Sitter space.¹⁰ However none of these have any
singularity. Indeed it would seem reasonable to de-

o: there exist possible topologies for
act. However, the following statements
e topology of the surfaces $t = \text{constant}$.
curvature is negative, $K = -1$, the univer
e is non-compact and is diffeomorphic to
ology can be obtained by identification
any other topology will not be simply c
ct, must have elements of infinite order
roup. Further if compact, they can have
ons.¹²

curvature is zero, $K = 0$, the universal c
There are eighteen possible topologies.
have a G_3 of motions and Betti numbers,

curvature is positive, $K = +1$, the univer
e is S^3 . Thus all topologies are compac
are all zero.¹²

have negative or zero curvature.

Trapped Surface

Let T^2 be a 3-ball of coordinate radius r in a 3-space H^3 (or E^3) in a Robertson-Walker metric with $K = \pm 1/R^2$. Let n^a be the outward directed unit normal to T^2 , the future directed unit normal to T^2 , and let V^a be the past directed unit normal to T^2 . Let ρ be the outgoing family of null geodesics which are orthogonal to T^2 at T^2 . At T^2 , ρ and V^a are orthogonal.

$$\frac{1}{2} (V_{a;b} + g_{a;b}) (S^a S^b + t^a t^b)$$

are unit space-like vectors in H^3 orthogonal to each other,

$$= \frac{1}{R} \left[\sqrt{\frac{\mu}{3} - K} - \frac{1}{r} \sqrt{1 - Kr^2} \right]$$

For $K = 0$ or -1 , by taking r large enough, the expression is positive at T^2 . Therefore, in the language of Penrose, T^2 is a trapped surface.

It reaches a maximum proper radius (ρ)
merges again to the singularity ($\rho > 0$)
of the converging light cone and the sur-
d trapped surface T^2 . If the red-shift
3C9 is cosmological then it will be bey-
if we are living in a Robertson-Walker
normal matter. However, the assumption
nd isotropy in the large seem to hold ou-
09. Thus there is good reason to believe
does in fact contain a closed trapped su-
pointed out that the possession of a clo-
ce is a large scale property that does n-
local metric. Thus a model that had loca-
tion and shear but was similar on a larg-
t time to a Robertson-Walker model would
d surface.

g Penrose it will be shown that space-ti

to the past of H^2 that can be joined by
a directed time-like line to T^2 or its in
be the boundary of F^4 . Local consideratio
is null where it is non-singular and is
the outgoing family of past directed nul
ch have future end-point on T^2 and past e
ore a singular point of the null geodesic
Since at T^2 , the convergence, $\rho > 0$ a
 $\mathcal{L}^b \geq 0$ by (f), the convergence m
te within finite affine distance. Thus
act being generated by a compact family o
ence B^3 will be compact. Penrose's metho
ows: approximate B^3 arbitrarily closely
-like surface and project B^3 onto H^3 by t
his surface. This gives a many-one conti
 B^3 into H^3 . Since B^3 is compact, its ima
act. Let $d(Q)$ be the number of points o

ce-like surface is possible if we adopt
nature of the matter, then B^3 may be pro
y one-to-one onto H^3 by the flow-lines.
to a contradiction since B^3 is compact

Horizons

~~above proof it was necessary to demand
why surface otherwise the whole of B^3 mi
projected onto H^3 . We will define a semi
(C.s) as a complete connected space-like
ects every time-like and null line at mo
will be a Cauchy surface for points near
will intersect every time-like and null
se points. However, further away there m
which it is not a Cauchy surface. Let
ts for which H^3 is a Cauchy surface and
y of these points. Q^3 , if it exists, w~~

~~all cone of the point in Minkowski space
forms the Cauchy horizon.~~

~~itions (e) and (g) hold, then a model with
s, H^3 must have the topology: $H^3 \times E^1$.~~

~~there were a region V^4 through which the
s intersecting H^3 , then V^3 the boundary
ne-like surface generated by flow-lines~~

~~Proceeding along these flow-lines in
their intersection with H^3 , we must reach
the generator since V^3 does not intersect
ence of this end-point contradicts (e)
ngularity of the flow-line congruence.~~

~~and every point has a flow line through
 H^3 . Thus we have a homeomorphism of the
by assigning to every point of the space
the flow-line from H^3 and the point of~~

~~ect Q^3 . Thus Q^3 is homeomorphic to H^3 .
f condition (f) holds every null geodesic
t least one end point. This must be in
way from H^3 since in the direction toward
er must be unbounded. This however is
compact. Thus H^3 is a Cauchy surface.~~

ities in 'Closed' Universes

is a singularity in every model which s
d (i).

e exists a compact Cauchy surface H^3 who
has positive expansion everywhere on H^3

e proof it is necessary to establish a c
sume that space-time is singularity-free
result is quoted without proof, it may re
m lemmas proved in reference 11.

pq, any irrotational geodesic congruence γ must have a singular point on γ .
 If p is a point on M^3 and γ is the geodesic through p and q , then a point conjugate to q along γ is a point conjugate to p on M^3 .

If M^3 is a complete connected space-like surface, then every time-like and null line from a point p is a geodesic. We define a function σ over M^3 as the square of the distance from p which is taken as positive if the geodesic is time-like and negative if the geodesic is space-like. We call σ the world function σ with respect to p . For $\sigma \geq 0$, σ will be a continuous (multi-valued) function over M^3 . A time-like geodesic from p will be said to be critical if it is a geodesic of σ for which

$$\sigma_{;\mu} \xi^\mu = 0 \quad (i = 1, 2, 3)$$

where ξ^μ are three independent vectors in M^3 . A geodesic must be orthogonal to M^3 . A geodesic

X conjugate to M^3 but no point conjugate to q

is the intersection of γ and M^3 .

f_m and g_m be the Jacobi fields along γ which respectively. They may be written

$$f_m = \sum_{n=1}^n A(s) \frac{f}{q},$$

$$g_m = \sum_{n=1}^n B(s) \frac{g}{q}.$$

$$h^m \left(\frac{dA_{mn}}{ds} \Big|_q - \frac{dB_{mn}}{ds} \Big|_q \right) h_n$$

must be positive since if it were negative for any h by taking a

it would be possible to have a point y on γ

closer to X before a point conjugate to P . If it

would be conjugate to P . This shows that the surface

of constant geodesic distance from P lies nearer to P in

than the surface of q of constant geodesic distance

does. Since X is conjugate to M^3 the surface

of constant geodesic distance from P lies closer to P in

st be some direction K for which

$$K^n \frac{d}{ds} A \quad / \quad K^m \quad K^n \frac{d}{ds} B$$

$$K^n \frac{d}{ds} V \quad / \quad K^m \quad K^n \frac{d}{ds} W$$

γ is the unit tangent vector of the curve

through p . Thus in the direction K the

geodesic distance from p lies closer to p

does. Therefore γ is not maximal.

compact or if the intersection of all σ

s with M^3 is compact, σ must have a maximum

there must be a geodesic normal to M^3 through

γ . We use this to prove another lemma

s to the future (past) on a time-like geodesic

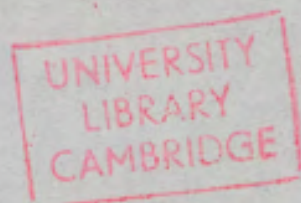
q , beyond a point z conjugate to q , and

compact Cauchy surface H^3 through q , then there

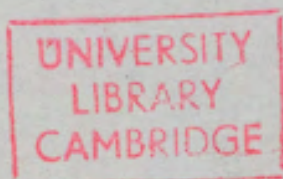
no time-like geodesic from p to q longer

) directed time-like and null line from
 not in F^4 , they must also intersect J^3 .
 section of J^3 and these lines. Since H^3
 must be compact. Consider the function
 over K^3 . Its maximum must lie in the c
 But, by the previous lemma γ is not max
 cal considerations show that a singular
 J^3 cannot be a maximum of σ . Thus t
 e of σ must occur for a geodesic from p
 This must also be a geodesic from p to
 er than γ .
 hese two lemmas the theorem may be prove
 (past) directed normals to H^3 are converg
 n H^3 , there must be a point conjugate to
 nce along each future (past) directed ge
 β be the maximum of these distances.
 n a future (past) directed geodesic norm

maximal by the first lemma. If however
conjugate to q along λ in qp , then there n
gesic from q to p by the second lemma. T
odesic of maximum length from H^3 to p .
on which shows that the original assumpt
non-singular must be false.
of could also be used to show the occur
ity in a model with a non-compact Cauchy
the expansion of its normals was bounde
provided that the intersection of the C
all the time-like and null lines from a



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— S. W. HAWKING —